

# FLABloM: Functional linear algebra with block matrices

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In [1] Bernardy & Jansson used a recursive block formulation of matrices to certify Variant's [4] parsing algorithm. Their matrix formulation was restricted to matrices of size  $2^n \times 2^n$  and this work extends the matrix formulation to allow for all sizes of matrices and applies similar techniques to algorithms that can be described as transitive closures of semi-rings of matrices with inspiration from [2] and [3].

We define a hierarchy of ring structures as Agda records. A semi-near-ring for some type  $s$  needs an equivalence relation  $\simeq_s$ , a distinguished element  $0_s$  and operations addition  $+_s$  and multiplication  $\cdot_s$ . Our semi-near-ring requires that  $0_s$  and  $+_s$  form a commutative monoid (i.e.  $+_s$  commutes and  $0_s$  is the left and right identity of  $+_s$ ),  $0_s$  is the left and right zero of  $\cdot_s$ ,  $+_s$  is idempotent ( $\forall x \rightarrow x +_s x \simeq_s x$ ) and  $\cdot_s$  distributes over  $+_s$ .

For the semi-ring we extend the semi-near-ring with another distinguished element  $1_s$  and proofs that  $\cdot_s$  is associative and that  $1_s$  is the left and right identity of  $\cdot_s$ .

Finally we extend the semi-ring with an operation *closure* that computes the transitive closure of an element of the semi-ring ( $c$  is the closure of  $w$  if  $c \simeq_s 1_s +_s w \cdot_s c$  holds), we denote the closure of  $w$  with  $w^*$ .

We use two examples of semi-rings with transitive closure: (1) the Booleans with disjunction as addition, conjunction as multiplication and the closure being *true*; and (2) the natural numbers ( $\mathbb{N}$ ) extended with an element  $\infty$ , we let  $0_s = \infty$ ,  $1_s = 0$ , *min* plays the role of  $+_s$ , addition of natural numbers the role of  $\cdot_s$  and the closure is 0.

**Matrices** To represent the dimensions of matrices we use a type of non-empty binary trees:

```
data Shape : Set where  
  L : Shape  
  B : (s1 s2 : Shape) → Shape
```

This representation follows the structure of the matrix representation more closely than natural numbers and we can easily compute the corresponding natural number:

$$toNat : Shape \rightarrow \mathbb{N}; toNat L = 1; toNat (B l r) = toNat l + toNat r$$

while the other direction is slightly more complicated because we want a somewhat balanced tree and we have no representation for 0.

Matrices are parametrised by the type of elements they contain and indexed by a *Shape* for each dimension. We use a datatype *M* with four constructors: *One*, *Row*, *Col*, and *Q*. The first *One* lifts an element into a 1-by-1 matrix:

```
data M (a : Set) : (rows cols : Shape) → Set where  
  One : a → M a L L
```

Row and column matrices are built from smaller matrices which are either 1-by-1 matrices or further row respectively column matrices

$$\begin{aligned} \text{Row} &: \{c_1 \ c_2 : \text{Shape}\} \rightarrow M \ a \ L \ c_1 \rightarrow M \ a \ L \ c_2 \rightarrow M \ a \ L \ (B \ c_1 \ c_2) \\ \text{Col} &: \{r_1 \ r_2 : \text{Shape}\} \rightarrow M \ a \ r_1 \ L \rightarrow M \ a \ r_2 \ L \rightarrow M \ a \ (B \ r_1 \ r_2) \ L \end{aligned}$$

and matrices of other shapes are built from  $2 \times 2$  smaller matrices

$$\begin{aligned} Q &: \{r_1 \ r_2 \ c_1 \ c_2 : \text{Shape}\} \rightarrow M \ a \ r_1 \ c_1 \rightarrow M \ a \ r_1 \ c_2 \rightarrow \\ & \quad M \ a \ r_2 \ c_1 \rightarrow M \ a \ r_2 \ c_2 \rightarrow \\ & \quad M \ a \ (B \ r_1 \ r_2) \ (B \ c_1 \ c_2) \end{aligned}$$

This matrix representation allows for simple formulations of matrix addition, multiplication, and as we will see also the transitive closure of a matrix.

**Transitive closure** In [3] Lehmann presents a definition of the closure on square matrices,  $A^* = 1 + A \cdot A^*$ : Given

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$$

the transitive closure of  $A$  is defined inductively as

$$A^* = \begin{bmatrix} A_{11}^* + A_{11}^* \cdot A_{12} \cdot \Delta^* \cdot A_{21} \cdot A_{11}^* & A_{11}^* \cdot A_{12} \cdot \Delta^* \\ \Delta^* \cdot A_{21} \cdot A_{11}^* & \Delta^* \end{bmatrix}$$

where  $\Delta = A_{22} + A_{21} \cdot A_{11}^* \cdot A_{12}$  and the base case is the 1-by-1 matrix where we use the transitive closure of the element of the matrix:  $[s]^* = [s^*]$ .

We have encoded this definition of closure in Agda and implemented a constructive correctness proof using structural induction and equational reasoning. The full development of around 2500 lines of literate Agda code (including this abstract) is available on GitHub (<https://github.com/DSLsofMath/FLABlOM>).

**Conclusions** We have presented an algebraic structure useful for (block) matrix computations and implemented and proved correctness of transitive closure. Compared to [1] our implementation handles arbitrary matrix dimensions but is restricted to semi-rings. Future work would be to extend the proof to cover both arbitrary dimensions and the more general semi-near-ring structure which would allow parallel parsing as an application.

## References

- [1] Jean-Philippe Bernardy and Patrik Jansson. Certified context-free parsing: A formalisation of Valiant’s algorithm in Agda. *Logical Methods in Computer Science*, 2016. Accepted 2015-12-22 for publication in LMCS. Available from <http://arxiv.org/abs/1601.07724>.
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